



Department of Education and Early Development
 Division of Libraries, Archives & Museums
 Alaska State Archives/Records & Information Management Service (ASA/RIMS)
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STATE OF ALASKA

Records Retention and Disposition Schedule

Agency I.D: 573 Schedule No: 06-573.1

DEPARTMENT OF HEALTH AND SOCIAL SERVICES

DIVISION OF BEHAVIORAL HEALTH

573 - ALASKA PSYCHIATRIC INSTITUTE - DIRECTOR AND HOSPITAL ADMINISTRATOR

The agency will follow retention periods for common records as listed in the most current State of Alaska General Administrative Records Retention and Disposition Schedule (GARRDS), unless those records have been listed on this schedule.

Unless otherwise indicated, all record series are confidential under AS 40.25.120. This schedule supersedes #64412.

All records that have potential permanent legal and historical value may be reviewed by the State Archivist for possible permanent retention in the State Archives in accordance with AS 40.21.030.

Under 4 AAC 59.005, it is the responsibility of agencies to ensure that records created and maintained in electronic systems remain accessible and durable for their prescribed retention period. This requires addressing the issues of periodic media refreshment, digital migration strategies and security plans. Backups produced for system recovery purposes do not serve a recordkeeping function or substitute for archived business essential duplicates.

Pursuant to the provisions of AS 40.21 and 4 AAC 59, the records listed on this schedule are approved for retention and disposition as indicated.

Division Director	Signature of Division Director		Date
Ron Adler	*		7/18/12
Attorney General/Designee	Date	Commissioner of Administration/Designee	Date
Alan Birnbaum*	10/8/12	Scot Arehart*	8/16/12
State Archivist	Date	Records Analyst	Date
Dean Dawson*	8/20/12	Gordon E. Brown*	8/20/12

* = Original signatures held on file.

Item No - Record Series Title & Description	Format	Total Retention	Bus. Ess.	Remarks
<p>001 - Release of Information Affidavits (Form 06-9005) Signed by visitors.</p> <p>Arranged alphabetically by name.</p>	H	CY+1		
<p>002 - Unusual Occurrence Reports Form 06-6020 is submitted when patients harm themselves, staff or another patient; or, when there is damage to property or loss of items by theft. This records series documents the type of unusual occurrence, immediate action taken, suspected cause, whether or not there was an injury and the severity of injury.</p> <p>These reports are compiled quarterly to identify and track trends.</p>	H & D	CY+6		<p>Most reports are initiated by nursing staff and involve patient incidents. Nursing supervisor rates the action and determines if an investigation needs to be made. They are reviewed by the Nursing Director, Medical Director, and API Director.</p> <p>The Communications Center Supervisor enters data into a database; the hardcopy is kept in a secure storage room on the third floor.</p> <p>Risk Management may review and make copies of selected reports.</p> <p>Confidential under AS 18.23.030.</p>

You can transfer records to an approved records center at any point - complete a Records Transfer List (RTL) and submit it to your Records Officer for approval.

<p>Retention Key</p> <p>A = Until Audit PO = Permanent (Retain in agency office) C = Cut-off event/date S = Until Scanned CY = Current Year T = Transfer CFY = Current Fiscal Year TO = Term of Office PA = Permanent (Transfer to State Archives)</p>	<p>Format Key</p> <p>H = Hardcopy E = Electronic D = Database M = Microform</p>	<p>Bus. Ess = Business Essential</p> <p>1. Are necessary for emergency response 2. Are necessary to resume or continue operations</p>
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